

JUL 26 2005

PTO:3B/17 (12-04v2)
Approved for use through 07/31/2008. CMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/09/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4518). <h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">For FY 2005</h3>		Complete If Known	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	09/758,777
		Filing Date	January 11, 2001
		First Named Inventor	Alan Shapiro
		Examiner Name	Graham, C.B.
		Art Unit	3828
TOTAL AMOUNT OF PAYMENT (\$) 510.00		Attorney Docket No.	103569/43140

METHOD OF PAYMENT (check all that apply)

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FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)**
 - 20 or HP = _____ x _____ = _____
 HP = highest number of total claims paid for, if greater than 20.

Indep. Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)**
 - 3 or HP = _____ x _____ = _____
 HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
_____	_____	_____	_____	_____

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount) _____

Other (e.g., late filing surcharge): Amendment and Response to Office Action (3 month extension fee) _____ **510.00**

SUBMITTED BY		Registration No.	Telephone
Signature		48,165	212-649-4700
Name (Print/Type) Boris A. Matvenko		Date	July 26, 2005

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JUL 27 2005

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JUL 26 2005

GIBBONS, DEL DEO, ~~DOLAN~~, GRIFFINGER & VECCHIONEA PROFESSIONAL CORPORATION
ATTORNEYS AT LAWONE PENNSYLVANIA PLAZA, 37TH FLOOR
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FACSIMILE: (212) 333-5980**Telecopy Cover Sheet**TELECOPY NUMBER: (571) 273-8300 CONTACT NUMBER: (212) 649-4700**PLEASE DELIVER THE FOLLOWING PAGES TO:**NAME: Mail Stop Response, Commissioner for Patents
FROM: Boris A. Matvenko, Reg. No. 48,165
RE: 103569 - 43140 DATE: 7/26/2005
Client MatterTOTAL NUMBER OF PAGES TRANSMITTED, INCLUDING THIS SHEET: 27TRANSMITTED BY: Lupe Serrano TIME OF TRANSMISSION: _____**MESSAGE:**

Sir:

An Amendment and Response Under 37 C.F.R. § 1.111 to the Office Action, dated January 27, 2005, in the U.S. Patent Application No. 09/758,777 is hereby respectfully submitted.

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The following is being submitted to the United States Patent and Trademark Office with regard to U.S. Patent Application Serial No. 09/758,777:

- Transmittal Form
- Fee Transmittal Form
- Amendment and Response
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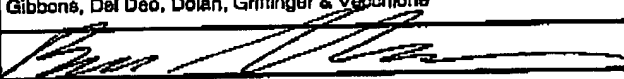
Application Number	08/758,777
Filing Date	January 11, 2001
First Named Inventor	Alan Shapiro
Art Unit	3828
Examiner Name	Graham, C.B.
Attorney Docket Number	103589/43140

ENCLOSURES (Check all that apply)

- | | | |
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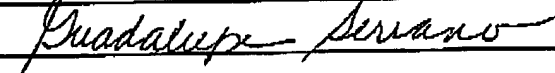
Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Gibbons, Del Deo, Dolan, Griffinger & Vecchione		
Signature			
Printed name	Boris A. Matvenko		
Date	July 26, 2005	Reg. No.	48,166

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Signature			
Typed or printed name	Guadalupe Serrano	Date	July 26, 2005

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GUADALUPE SERRANO
Print Name

Guadalupe Serrano
Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Alan Shapiro

Examiner: Graham, C. B.

Serial No.: 09/758,777

Group Art Unit: 3628

Filed: January 11, 2001

Confirmation No.: 1711

Attorney Docket No.: 103569/43140

Title: **PREDICTIVE AUTOMATE ROUTING SYSTEM (PARS) FOR
SECURITIES TRADING**

Mail Stop Response
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE UNDER 37 C.F.R. § 1.111

Sir:

In response to the office action, dated January 27, 2005 please amend the present
application as follows:

Claim amendments begin on page 2 of the amendment and response.

Applicant's Remarks begin on page 16 of the amendment and response.